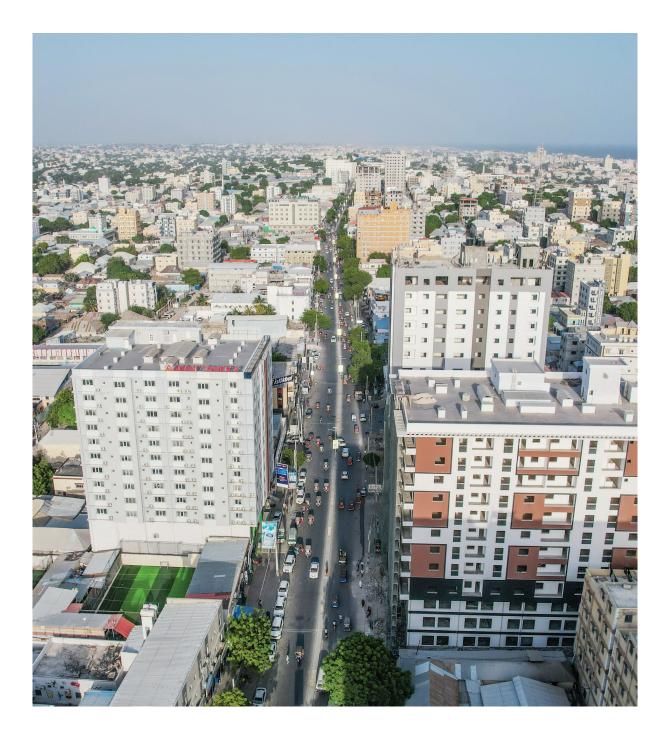




# **DISTRICT-LEVEL POVERTY ESTIMATES IN SOMALIA:** A FAY HERRIOT MODEL APPROACH

# **ACKNOWLEDGEMENTS**

This note is the product of a collaboration between the Poverty and Equity Global Practice of the World Bank and the Somali National Bureau of Statistics (SNBS). The technical assistance provided by the World Bank was led by Ifeanyi Edochie, with support from Alastair Haynes and Alejandro de la Fuente. Abdisalam Mohamed and Mohamed Abdinur led the SNBS team, who kindly facilitated the Somali Integrated Household Budget Survey 2022 for this work. The note benefited from the review and inputs of Paul Corral and Alexandru Cojocaru.



### INTRODUCTION

Household surveys are often representative at the national level or at the level of the first administrative division (region/state level). National Statistical Offices and government entities can benefit from poverty estimation at a higher level of resolution, such as the district level. This note describes the small area estimation (SAE) methodology implemented to estimate poverty rates in Somalia at the district level. SAE is a statistical method that can be used to improve the reliability of survey estimates by combining survey data with geographically comprehensive auxiliary data, such as census when available or geospatial, remotely sensed data. In Somalia, we show that SAE generates poverty estimates that are sufficiently precise to report at the district level instead of the regional level. This has the potential to improve the targeting and evaluation of interventions intended to achieve poverty reduction in the future. Ideally, SAE combines survey data with household-level data from a recent census. Countries often aim to collect census data every 10 years. However, many African countries take more years between consecutive censuses, and indeed Somalia's last census was conducted in 1974. Therefore, this exercise relies on contemporaneous geospatial data derived from a variety of sources. Battese, Harter, and Fuller (1988) were the first to use geospatial satellite data in the context of crop production. Georganos et al. (2019) and Chi et al. (2022) have used satellite imagery to predict wealth indices. However, the use of geo-referenced data is less ideal than the traditional microdata obtained from household surveys or administrative datasets. Van Der Weide et al. (2024) used satellite imagery to predict monetary poverty in Malawi and noted the less-thanideal nature of geospatial data. Corral, Henderson, and Segovia (2025) also find that remotely sensed data may not be ideal for poverty mapping due to the relatively lower predictive power. However, applying the areabased modelling approach to the use of geospatial data improves the precision of the poverty estimates significantly over district level direct estimates.

In this note, we present the approach that models poverty rates at the district level in Somalia using the model of Fay III and Herriot (1979). The area level model approach allows us to relate district level direct survey poverty rates to auxiliary variables (geospatial indicators) to estimate poverty rates in all districts within Somalia. Seitz (2019) provides district level poverty rates in the Central Asia region using the Fay-Herriot modelling approach and auxiliary geospatial data. The World Bank has employed the SAE methodology extensively to estimate poverty and other socioeconomic indicators of interest at more granular levels and continues to produce these estimates in combination with other nonmonetary measures of poverty. At this point, SAE has been applied in a wide variety of contexts across many developing countries. This note is subdivided as follows. In section 2, we present survey data (specifically the household consumption data) and why SAE is necessary for district level poverty estimation in Somalia. We also present the Fay-Herriot (FH) model as described by Seitz (2019). Section 3 describes the geospatial databases sourced and indicators created as well as the model selection process employed. Sections 4 and 5 describes the FH model results and the poverty maps for the country.

> This note describes the small area estimation (SAE) methodology implemented to estimate poverty rates in Somalia at the district level.

## DATA

For Somalia, the 2022 Somalia Integrated Household Budget Survey (SIHBS) is representative at the regional level. The development of the SIHBS-22 sampling frame followed a stratified multi-stage probability cluster sample design. Urban and rural areas followed a three-stage stratified cluster sample design, while in nomadic areas the design was a two-stage stratified cluster sample design. The primary sampling units (PSUs) were selected with a probability proportional to the number of dwelling structures. The secondary sampling units (SSUs) for rural and urban areas were selected with a probability proportional to the number of listed households which constituted the frame. The ultimate sampling units (USUs) for rural, urban, and nomadic areas were randomly selected from listed households in the cluster.

District level poverty rate estimates computed from this survey will be insufficiently precise and unreliable for publication. Table 1 illustrates why it is necessary to use SAE to report poverty rates at more disaggregated geographic levels in Somalia. We use the mean coefficient of variation (CV) as a standardized measure of precision (i.e., the square root of the estimated mean square error divided by the poverty rate). Differing thresholds for mean or median CVs, often ranging from 0.1 to 0.3, have been applied by National Statistics Offices (NSO) to determine if statistics are sufficiently reliable to report. The median and mean direct CVs in Somalia at the district level are approximately 0.098 and 0.099.<sup>1</sup> While this is within the acceptable range of reliability for some countries, it is not considered reliable enough to be published by the Somalia NSO.

| Indicator                              | Estimate           | Source                                          |
|----------------------------------------|--------------------|-------------------------------------------------|
| Population (in millions)               | 13.6               | HBS 2022                                        |
| Population Number of HHs (in millions) | 2.0                | HBS 2022                                        |
| Sample # of HHs                        | 6221               | HBS 2022                                        |
| Poverty Rate (NPL)                     | 0.514 <sup>2</sup> | HBS 2022                                        |
| Latest Census Year                     | 1974               | N/A                                             |
| Number of Regions                      | 17                 | SNBS Official Somalia boundary file (shapefile) |
| Region Median CV                       | 0.098              | HBS 2022                                        |
| Region Mean CV                         | 0.097              | HBS 2022                                        |
| Number of Targets (Population)         | 74                 | SNBS Official Somalia boundary file (shapefile) |
| Number of Targets (Sample)             | 48                 | HBS 2022                                        |

#### Table 1: Descriptive Statistics \_

<sup>&</sup>lt;sup>1</sup> The coefficient of variation is the MSE divided by the poverty rate. In estimating direct variances (directs MSEs), we adopt the sampling design of the SIHBS (described in Section 2). We use the svydesign function of the survey R package in computing the variances.

<sup>&</sup>lt;sup>2</sup> The poverty rate computed only uses the rural and urban areas and does not include households in refuge encampments or IDPs. This also explains the disparity between the poverty rate displayed and the official national poverty rate.

We utilize freely available geospatial data for this small area estimation exercise since the last census carried out is outdated (from 1974). The goal of the SAE exercise is to estimate more reliable district level poverty rates in Somalia by using a Fay-Herriot model based on relating the target area direct estimate poverty rates and district level geospatial indicators. Given that any recent developments in Somalia might not be captured by its 50-year-old census, it would be difficult to make a case for area poverty rates estimated using the 1974 census particularly to guide current policy interventions.



### **METHODOLOGY**

Corral et al. (2022) recommend implementing an area level Fay-Herriot model with geospatial indicators for poverty mapping. Imagine a finite population for Somalia, *P*, that consists of *N* households that are subdivided into *D* districts with sizes  $N_1, ..., N_D$ . A random sample of households can be drawn from the  $d^{th}$  commune (i.e.,  $n_1, ..., n_d$  s.t. n < N. The Fay-Herriot (FH) model comprises of two levels. The first is a sample model which assumes a direct survey estimator:

$$\hat{\theta}_i^{Dir} = \theta_i + e_i, \qquad \forall_i = 1_i, \dots, D$$

 $\hat{\theta}_i^{Dir}$  is design unbiased for the small area parameter,  $\theta_i$  the population indicator of interest, in this case, the poverty rate each district,  $d_i$ . We assume a sample error  $e_i$  is normally distributed with a mean of zero and a variance of  $\sigma_{e_i}^2$ .

$$e_i \sim N(0, \sigma_{e_i}^2)$$

In the second level, a linking model is assumed to relate  $\theta_i$  to auxiliary variables  $x_i = (x_{i\nu} \dots x_{ic})'$  via a linear regression. Both levels of the model together are presented as follows:

$$\widehat{\theta}_i^{Dir} = x_i^T \beta + \mu_i + e_i; \quad \mu_i \sim N(0, \sigma_\mu^2); \quad \forall_i = 1_i, ..., D$$

The empirical best linear unbiased estimators (EBLUP)  $\beta$  are computed by weighted least squares regression. The EBLUP of  $\theta_i$  is obtained by substituting the variance parameter  $\sigma_{\mu}^2$  with an estimate. The resulting estimator can then be written as:

$$\widehat{\theta}_i^{FH} = x_i^T \widehat{\beta} + \mu_i$$
$$\widehat{\theta}_i^{FH} = \widehat{\gamma}_i \theta_i^T + (1 - \widehat{\gamma}_i) x_i \widehat{\beta}$$

The EBLUP/FH estimator can be understood as a weighted average of the direct estimator and a regression synthetic part. The estimated shrinkage factor  $\hat{\gamma}_i = \frac{\sigma_{\mu}^2}{\sigma_{\mu}^2 + \sigma_{\varepsilon_i}^2}$  puts more weight on the direct estimator when the sampling variance is small and vice versa. Areas for which no direct estimation results are called out-of-sample domains. For those domains the prediction reduces the regression-synthetic component  $\hat{\theta}_{i,out}^{FH} = x_i^T \hat{\beta}$  (Molina and Rao 2010).

This method is widely used by the Small Area Income and Poverty Estimates (SAIPE) program of the US census bureau and has been thoroughly validated in Corral, Rodas, Henderson, and Segovia (2023). This approach improves the error efficiency rates over the direct estimates at the target area level. Inter-area unexplained heterogeneous area effects are accounted for within the model. Section 3.3 in Corral et al. (2022) provides a full list of advantages and disadvantages of the Fay-Herriot modelling approach.

For this small area estimation exercise, 48 of the 74 Somalia districts are included in the SIHBS. As a result, these in-sample districts will benefit from the information available in the survey. In some cases with FH models, districts with low sample sizes can result in all households from a specific sample district being all poor or not poor  $(\theta_i^{Dir} = 1 \text{ or } 0)$  or only one Enumeration Area in a district is sampled. The common practice of sample variance smoothing (You and Hidiroglou 2012; You and Hidiroglou 2023) in the SAE literature is typically implemented to solve this problem. The variance smoothing approach of You and Hidiroglou (2012) applies a log-linear model of the direct sampling variance  $\{\hat{V}_i\}$  as a function of the sampling size,  $n_i$ .

$$log(\hat{V}_i) = \hat{\phi}_0 + \hat{\phi}_1 n_i + \varepsilon_i, \ i = 1_i, ..., D$$

Assuming  $\hat{\phi}_0$  and  $\hat{\phi}_1$  to be the simple OLS estimators for the regression coefficients  $\hat{\phi}_0$  and  $\hat{\phi}_1$ . Applying the exponential of the equation produces the naive variance estimator (Dick 1995) as follows:

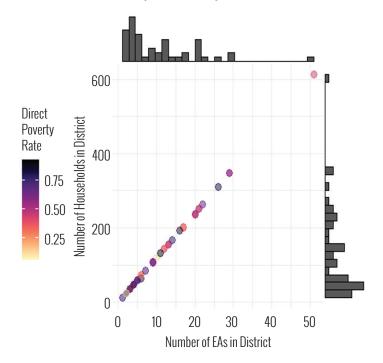
$$\widehat{V}_i = e^{\widehat{\phi}_0} + \widehat{\phi}_1 n_i$$

Rivest and Belmonte (2000) show that the naive estimator can underestimate sampling variance. They propose correction as follows:

$$\widehat{V_i^{RB}} = \widehat{V}_i e^{\frac{\tau^2}{2}}$$

since the naive variance estimator can be easily shown to overestimate sampling variance by a factor of  $e^{\frac{\tau^2}{2}}$ . For the purposes of our analysis, there are no districts without variances or extreme case poverty rates ( $\theta_i^{Dir} = 1$  or 0). Consequently, we do not remove districts from the analysis. However, the NSO flagged the initial predicted poverty rates in 3 districts as being too low. 2 of these 3 districts have low sample sizes and with 2 or less primary sampling units as well. In the supplementary section, we re-estimate the Fay-Herriot model without these areas and present the results. Below, we simply show the sample distribution of PSUs and households within districts and how this varies with the direct district poverty rates.

### Figure 1: Joint Distribution of EAs and Households (District-Level)



### **GEOSPATIAL DATA AND MODEL SELECTION PROCESS**

The process leading up to model selection involves sourcing freely available geospatial indicators that might be correlated with household welfare and poverty. The geospatial features were sourced at native resolution and then zonal statistics were computed at the target area level (districts). Table 2 shows all the geospatial features and the data sources employed.

| Feature                                                                                                                                                    | Estimate                                                                                                                                   | Original_Data_<br>Resolution | Year                |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|---------------------|
| Built-settlement extent area                                                                                                                               | WorldPop Building Footprints                                                                                                               | 1km                          | 2001 - 2020         |
| Gridded Population & Density                                                                                                                               | WorldPop Gridded Population Counts<br>& Density                                                                                            | 90m                          | 2020                |
| Share of area planted by crop for banana, beans, cassava,<br>maize, sesame seed, sorghum, sugar cane, temperature fruit,<br>tropical fruit, vegetables     | IFPRI Spatial Production Allocation<br>Model (SPAM)                                                                                        | 10km                         | 2009, 2017,<br>2020 |
| Production quantity for each crop for banana, beans, cassava,<br>maize, sesame seed, sorghum, sugar cane, temperature fruit,<br>tropical fruit, vegetables | IFPRI Spatial Production Allocation<br>Model (SPAM)                                                                                        | 10km                         | 2009, 2017,<br>2020 |
| % production as a total crop production for banana, beans, cassava, maize, sesame seed, sorghum, sugar cane, temperature fruit, tropical fruit, vegetables | IFPRI Spatial Production Allocation<br>Model (SPAM)                                                                                        | 10km                         | 2009, 2017,<br>2020 |
| Standardized precipitation evaporation index, 12 month                                                                                                     | Global SPEI database, version 4.03                                                                                                         | 0.5 degrees                  | 2020                |
| Drought exposure, Drought hazard, Drought risk index,<br>Drought vulnerability                                                                             | (Carrao et al. 2018)                                                                                                                       | 0.5 degrees                  | 2000-2014           |
| Drought hazard, risk for irrigated agricultural systems                                                                                                    | Drought risk for rainfed, irrigated agric.<br>systems aggregated as an average per<br>polygon based on the data from (Meza et<br>al. 2020) |                              | 2020                |
| Percent of area with Vegetation Index below 40 for the Gu<br>season (April - June)                                                                         | STAR - Global Vegetation<br>Health Products                                                                                                |                              | 2017-2022           |
| Average travel time in nearest urban areas with a population of 5000, 20000 and 50000.                                                                     | Computed based on population data from<br>WorldPop and accessibility data from<br>(Nelson et al. 2019)                                     |                              | 2019                |

### Table 2: EBP Model (Regression Results)

We begin by transforming all indicators as necessary to minimize the risk of divergence in model parameter estimation. For indicators with values greater than 100, we take the natural logarithm. We have avoided feature scaling to avoid excessive distortion or loss of information for the scaled variables.

The geospatial data listed under the previous header was used to construct candidate features, at the grid and target area level. In addition, we include regional dummy variables. In all, we created 157 potential geospatial candidate indicators. Using all these features in the linear mixed model risks potentially leads to over-fitting the survey sample and generates poor out-of-sample estimations. Next, we employ a stepwise (both-ways method) selection approach which picks the most predictive set of indicators from the pool of candidate indicators. The both-ways method was used, enabling iterative testing of each variable's contribution by alternatively adding and removing variables based on statistical significance criteria at each step. This approach begins with a constant term and tests the inclusion of variables one-by-one; then it considers each for potential removal, thus optimizing the model's explanatory power while controlling for overfitting. The both-ways method provides flexibility, more so than the forward or backward algorithm, to achieve an optimal balance of predictive power and model parsimony, ensuring that only variables with significant and robust relationships to the outcome are retained.

# **FAY-HERRIOT MODEL ESTIMATION RESULTS**

The final selected set of variables suggests that spatial measures for urbanization, climatic factors (such as drought), agricultural productivity proxies and the regional dummies are the most predictive indicators from the pool of candidate predictors. The regression results are as follows:

#### Table 3: EBP Model (Regression Results) \_\_\_\_

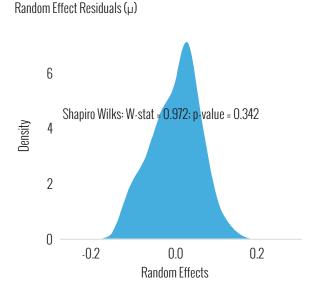
| Variables                                                                    | Coefficients | Standard Error |
|------------------------------------------------------------------------------|--------------|----------------|
| Intercept                                                                    | 0.151        | 0.134          |
| Rural Reachability Index                                                     | 0.003**      | 0.001          |
| Drought Hazard (Carrao et al. 2016 estimates)                                | 0.206        | 0.124          |
| % of area with vegetation health index (VHI) below 40 during the 2020 season | 0.005**      | 0.002          |
| Population density per sq. km of populated area                              | 0.0000019    | 0.0000053      |
| Harvest area for maize as a share of all crops                               | 0.014***     | 0.004          |
| Gedo Region                                                                  | -0.23**      | 0.072          |
| Production quantity for maize as a share of all crops                        | -0.0078***   | 0.002          |
| Harvest area for vegetables as a share of all crops                          | -0.031***    | 0.009          |
| Nugaal Region                                                                | -0.08        | 0.082          |
| Hiraan Region                                                                | 0.296**      | 0.106          |
| Bakool Region                                                                | 0.271**      | 0.089          |
| Sample Size (n) = 48                                                         |              |                |

Statistical significance for each coefficient value, \*\*\* for 1%, \*\* for 5%

#### **Table 4: Assessing Normality Assumptions**

| Мос      | lel R²      | (Error Term) ε |          | (Random  | Effect) µ |
|----------|-------------|----------------|----------|----------|-----------|
| marginal | conditional | skewness       | kurtosis | skewness | kurtosis  |
| 0.433    | 0.577       | 0.653          | 3.002    | -0.215   | 3.054     |

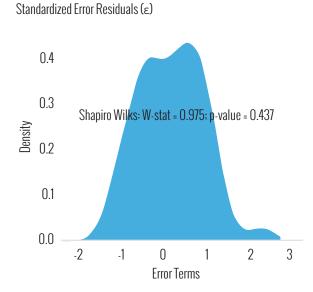
The regression coefficients have the expected sign. The Hiraan and Bakool regions appear to be poorer on average than other regions. In contrast, the Nugaal region appears to be better-off than the average region, although this is not statistically significant. Several agriculture-related variables appear to be significant predictors of poverty rates in Somalia. The production quantity for maize is associated with lower poverty rates while larger harvest areas appear to be increasing in poverty rates. Maize cultivation, and most crop production in Somalia, is heavily rural, which might explain the direction of the sign for maize harvest area shares with respect to poverty. The proportion of an area with a vegetation health index (a geospatial proxy for crop production) below 40 (in 2020) is increasing in poverty rates. Consequently, less green areas are more likely to be worse off. In addition, drought hazards also appear to be unsurprisingly increasing in poverty rates, although this result is not statistically significant. Several assumptions are made in this model which needed to be verified. The Fay-Herriot model R^2 equals 57.7% with an adjusted R^2 of 43.3% which is typical for the FH model particularly with only 48 in-sample districts used in the regression of only geospatial features. We assume independent normal distributions for the area effects as well as error terms. The table shows the skewness and kurtosis which should be approximately 0 and 3 for normally distributed random variables. The normality assumptions proposed in the method section matter for the noise estimates but the EB methodology ensures that the poverty estimates are unbiased. The residual analysis suggests that the skewness and kurtosis of the idiosyncratic and district level area effects match the normality assumptions. However, there appears to be few outliers within the error term normal density plot. The residual plots for both the random error and idiosyncratic errors can be found below:



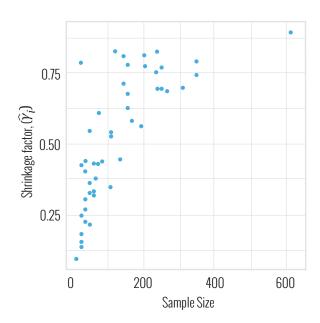
**Figure 2: Fay-Herriot Residual Plots** 

We employ the Shapiro-Wilks measure to test the null hypothesis that random variables,  $e_i$  and  $\mu_i$ , come from a normality distributed populations. The test results,  $W_{e_i}$  = 0.963 (p= 0.129);  $W_{C_i}$  = 0.989 (p= 0.916) suggest normally distributed random effects and idiosyncratic error terms. We cannot reject the null hypothesis at the 5% level (although the standardized error residuals are significant at 10%).

The Fay-Herriot model employs direct estimates in predicting poverty rates. The shrinkage factor measures the ratio of the random effect to the total variance within the model. Full shrinkage  $\hat{V}_i = 1$  means predicted poverty rates are simply the direct estimates while the other extreme uses a purely synthetic predictions,  $\hat{V}_i = 0$ . We present a scatter plot of the  $\hat{V}_i$ as a function of sample size.





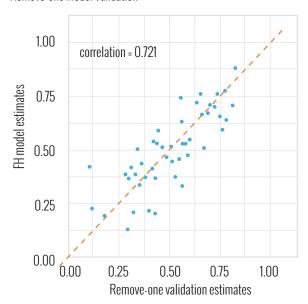


In a final check, we attempt to validate the model by performing the Remove-One Model validation. Since our sample only contains 48 target areas, the typical n-fold validation process would have to split an already limited sample into 2 smaller training and test sets. Instead, the Remove-One validation process, trains a Fay-Herriot model on 47 districts and removes 1 until every district has been excluded once. We compare show a plot comparing model validation estimates with the actual FH model predictions to check the stability of the model.

Figure 4 plots the FH model estimates against their corresponding estimates as a result of the removeone validation model. The correlation between the set of FH model estimates and the validation estimates stands at 0.75 as shown in above chart.

### **Figure 4: Remove-One Model Validation Plot**

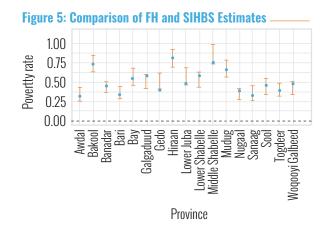
**Remove-one Model Validation** 



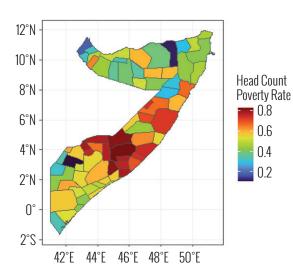
### **POVERTY MAPS**

As a final check, the FH poverty rates at the district level are aggregated to the regional level to compare against the direct estimates. The regional level is the highest level of resolution at which the survey design reaches representativeness. The direct estimates in Figure 5 are shown as 95% confidence intervals (in red) which are plotted in comparison with Fay Herriot poverty estimates.

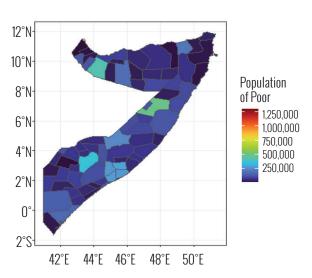
It should be noted that all the model based regional estimates fall within the direct estimate confidence intervals for all regions. Figure 6 presents the initial district level poverty estimates.



Note: Author calculations of Direct Estimate 95% Cl at the province level (Red error bars) compared with FH estimates at same level (blue dots)



#### **Figure 6: Initial Predicted District Poverty Rates and Number of Poor**





### **RE-ESTIMATING FH MODEL WITHOUT GARBAHAAREY, LASSQORAY AND ZEYLAC IN SIHBS SAMPLE**

However, upon review of the initial estimates in collaboration with the SNBS, 3 districts were identified as having unrealistically low poverty estimates as the surrounding districts within each region had significantly higher poverty rates. These districts were Garbahaarey, Lassqoray and Zeylac in Gedo, Sanaag and Awdal regions, respectively. This may have been driven in part by the limited number of enumeration areas in these districts (see Table A3). Three benchmarking approaches were implemented in attempt to solve the problem:

i. First, the raking benchmarking method iteratively adjusts district estimates until convergence is reached with the regional poverty rate. However, the FH model regional poverty rates are all within 5 percent of the direct estimates, as a result this had little effect on changing the district poverty rates.

- ii. Next, the ratio method adjusts the district estimates using a constant factor.
- iii. Finally, a method that incorporates the MSE estimates was also applied.

All three methods had minimal effect on the district poverty rates as they are all sensitive to the accuracy of sampling in the specific districts. The decision was made to treat all three districts as out of sample, which resulted in poverty rates more aligned with the neighboring districts.

We remove the 3 districts flagged by the SNBS and re-iterate the entire modeling exercise previously described, including both the model/variable selection process and the FH model estimation. The results are as follows:

| Variables                                              | Coefficients | Standard Error |
|--------------------------------------------------------|--------------|----------------|
| Intercept                                              | 0.148        | 0.180          |
| Rural Accessibility Index                              | 0.004**      | 0.002          |
| Production quantity for banana as a share of all crops | 0.026***     | 0.008          |
| Share of people living within 5km from conflicts       | 0.002**      | 0.001          |
| Number of Schools                                      | -0.0057***   | 0.002          |
| Nugaal Region                                          | -0.15**      | 0.069          |
| Hiraan Region                                          | 0.350***     | 0.094          |
| Bakool Region                                          | 0.207**      | 0.078          |

### Table 5: EBP Model (Regression Results) \_\_\_\_\_

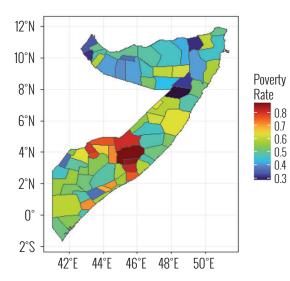
Statistical significance for each coefficient value, \*\*\* for 1%, \*\* for 5%

#### Table 6: Assessing Normality Assumptions

| Мос      | lel R²      | (Error Term) ɛ |          | (Random  | Effect) µ |
|----------|-------------|----------------|----------|----------|-----------|
| marginal | conditional | skewness       | kurtosis | skewness | kurtosis  |
| 0.313    | 0.488       | 0.256          | 2.729    | -0.251   | 2.524     |

Figure 7 below shows the updated district poverty estimates, while Table 8 presents the final regional

and district poverty estimates and confidence intervals based on the FH model.



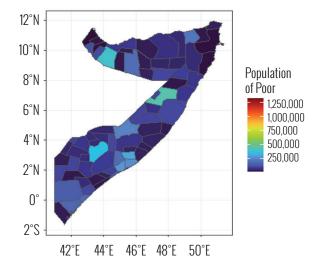


Figure 7: Adjusted Predicted District Poverty Rates and Number of Poor

#### **Table 8: Final FH Estimates**

| Region          | Regional Poverty<br>Rate (Direct) |       | l Poverty<br>(Direct) | District     | District Poverty<br>Rate (FH) | District<br>Rate ( |       |
|-----------------|-----------------------------------|-------|-----------------------|--------------|-------------------------------|--------------------|-------|
| Awdal           | 0.357                             | 0.263 | 0.452                 | Borama       | 0.308                         | 0.224              | 0.391 |
|                 |                                   |       |                       | Baki         | 0.470                         | 0.285              | 0.655 |
|                 |                                   |       |                       | Lughaye      | 0.504                         | 0.309              | 0.699 |
|                 |                                   |       |                       | Zeylac       | 0.337                         | 0.127              | 0.548 |
| Woqooyi Galbeed | 0.427                             | 0.34  | 0.515                 | Hargeysa     | 0.411                         | 0.306              | 0.515 |
|                 |                                   |       |                       | Berbera      | 0.497                         | 0.384              | 0.610 |
|                 |                                   |       |                       | Gebiley      | 0.586                         | 0.391              | 0.781 |
| Togdheer        | 0.406                             | 0.322 | 0.49                  | Burco        | 0.412                         | 0.315              | 0.509 |
|                 |                                   |       |                       | Buuhoodle    | 0.528                         | 0.372              | 0.684 |
|                 |                                   |       |                       | Owdweyne     | 0.398                         | 0.236              | 0.560 |
|                 |                                   |       |                       | Sheikh       | 0.365                         | 0.226              | 0.504 |
| Sool            | 0.45                              | 0.348 | 0.553                 | Laas Caanood | 0.435                         | 0.334              | 0.536 |
|                 |                                   |       |                       | Caynabo      | 0.460                         | 0.278              | 0.641 |
|                 |                                   |       |                       | Taleex       | 0.620                         | 0.422              | 0.818 |
|                 |                                   |       |                       | Xudun        | 0.601                         | 0.445              | 0.757 |
| Sanaag          | 0.436                             | 0.314 | 0.558                 | Ceerigaabo   | 0.455                         | 0.339              | 0.572 |
|                 |                                   |       |                       | Ceel Afweyn  | 0.480                         | 0.298              | 0.662 |
|                 |                                   |       |                       | Laasqoray    | 0.423                         | 0.258              | 0.589 |
| Bari            | 0.366                             | 0.285 | 0.447                 | Bossaso      | 0.305                         | 0.217              | 0.394 |
|                 |                                   |       |                       | Bandarbeyla  | 0.577                         | 0.423              | 0.732 |
|                 |                                   |       |                       | Caluula      | 0.500                         | 0.322              | 0.678 |
|                 |                                   |       |                       | lskushuban   | 0.453                         | 0.290              | 0.617 |

| Region          | Regional Poverty<br>Rate (Direct) |       | l Poverty<br>(Direct) | District      | District Poverty<br>Rate (FH) | District<br>Rate ( |       |
|-----------------|-----------------------------------|-------|-----------------------|---------------|-------------------------------|--------------------|-------|
|                 |                                   |       |                       | Qandala       | 0.600                         | 0.432              | 0.769 |
|                 |                                   |       |                       | Qardho        | 0.409                         | 0.250              | 0.568 |
| Nugaal          | 0.349                             | 0.276 | 0.422                 | Garoowe       | 0.315                         | 0.216              | 0.413 |
|                 |                                   |       |                       | Burtinle      | 0.283                         | 0.192              | 0.375 |
|                 |                                   |       |                       | Eyl           | 0.517                         | 0.307              | 0.728 |
| Mudug           | 0.679                             | 0.568 | 0.789                 | Gaalkacyo     | 0.587                         | 0.475              | 0.700 |
|                 |                                   |       |                       | Galdogob      | 0.640                         | 0.466              | 0.814 |
|                 |                                   |       |                       | Hobyo         | 0.635                         | 0.437              | 0.833 |
|                 |                                   |       |                       | Jariiban      | 0.476                         | 0.329              | 0.623 |
|                 |                                   |       |                       | Xarardheere   | 0.532                         | 0.389              | 0.674 |
| Galgaduud       | 0.509                             | 0.416 | 0.602                 | Dhuusamarreeb | 0.457                         | 0.348              | 0.56  |
|                 |                                   |       |                       | Cabudwaaq     | 0.547                         | 0.421              | 0.672 |
|                 |                                   |       |                       | Cadaado       | 0.620                         | 0.448              | 0.792 |
|                 |                                   |       |                       | Ceel Buur     | 0.470                         | 0.328              | 0.612 |
|                 |                                   |       |                       | Ceel Dheer    | 0.512                         | 0.365              | 0.65  |
| Hiraan          | 0.815                             | 0.7   | 0.931                 | Belet Weyne   | 0.804                         | 0.677              | 0.93  |
|                 |                                   |       |                       | Bulo Burto    | 0.872                         | 0.650              | 1.094 |
|                 |                                   |       |                       | Jalalaqsi     | 0.859                         | 0.630              | 1.08  |
| Middle Shabelle | 0.866                             | 0.739 | 0.992                 | Jowhar        | 0.813                         | 0.666              | 0.96  |
|                 |                                   |       |                       | Adan Yabaal   | 0.472                         | 0.324              | 0.619 |
|                 |                                   |       |                       | Balcad        | 0.755                         | 0.589              | 0.92  |
|                 |                                   |       |                       | Cadale        | 0.588                         | 0.403              | 0.773 |
| Banadir         | 0.44                              | 0.374 | 0.505                 | Banadir       | 0.458                         | 0.393              | 0.52  |
| Lower Shabelle  | 0.534                             | 0.436 | 0.631                 | Marka         | 0.560                         | 0.391              | 0.730 |
|                 |                                   |       |                       | Afgooye       | 0.562                         | 0.468              | 0.65  |
|                 |                                   |       |                       | Baraawe       | 0.567                         | 0.412              | 0.72  |
|                 |                                   |       |                       | Kurtunwaarey  | 0.685                         | 0.520              | 0.85  |
|                 |                                   |       |                       | Qoryooley     | 0.707                         | 0.529              | 0.88  |
|                 |                                   |       |                       | Sablaale      | 0.368                         | 0.158              | 0.57  |
|                 |                                   |       |                       | Wanla Weyn    | 0.574                         | 0.428              | 0.720 |
| Bay             | 0.572                             | 0.462 | 0.681                 | Baydhaba      | 0.539                         | 0.438              | 0.64  |
|                 |                                   |       |                       | Buur Hakaba   | 0.482                         | 0.342              | 0.62  |
|                 |                                   |       |                       | Diinsoor      | 0.513                         | 0.376              | 0.65  |
|                 |                                   |       |                       | Qansax Dheere | 0.540                         | 0.402              | 0.67  |
| Bakool          | 0.743                             | 0.632 | 0.855                 | Xudur         | 0.658                         | 0.500              | 0.81  |
|                 |                                   |       |                       | Ceel Barde    | 0.762                         | 0.615              | 0.910 |
|                 |                                   |       |                       | Tayeeglow     | 0.716                         | 0.521              | 0.91  |
|                 |                                   |       |                       | Waajid        | 0.779                         | 0.592              | 0.96  |
|                 |                                   |       |                       | Rab Dhuure    | 0.795                         | 0.594              | 0.99  |
| Gedo            | 0.549                             | 0.441 | 0.658                 | Garbahaarey   | 0.487                         | 0.352              | 0.62  |
|                 |                                   |       |                       | Baardheere    | 0.558                         | 0.390              | 0.72  |
|                 |                                   |       |                       | Belet Xaawo   | 0.367                         | 0.237              | 0.49  |

| Region      | Regional Poverty<br>Rate (Direct) | Regional Povert<br>Rate CI (Direct) |            | District Poverty<br>Rate (FH) |       | Poverty<br>CI (FH) |
|-------------|-----------------------------------|-------------------------------------|------------|-------------------------------|-------|--------------------|
|             |                                   |                                     | Ceel Waaq  | 0.456                         | 0.298 | 0.615              |
|             |                                   |                                     | Doolow     | 0.538                         | 0.370 | 0.706              |
|             |                                   |                                     | Luuq       | 0.632                         | 0.477 | 0.787              |
| Middle Juba |                                   |                                     | Bu'aale    | 0.473                         | 0.301 | 0.645              |
|             |                                   |                                     | Jilib      | 0.712                         | 0.523 | 0.901              |
|             |                                   |                                     | Saakow     | 0.527                         | 0.387 | 0.668              |
| Lower Juba  | 0.578                             | 0.468 0.68                          | 9 Kismaayo | 0.539                         | 0.426 | 0.652              |
|             |                                   |                                     | Afmadow    | 0.595                         | 0.456 | 0.734              |
|             |                                   |                                     | Badhaadhe  | 0.510                         | 0.372 | 0.648              |
|             |                                   |                                     | Jamaame    | 0.468                         | 0.299 | 0.637              |

### Figure 8: Correlation between FH Model Estimates and Direct Estimates at District Level

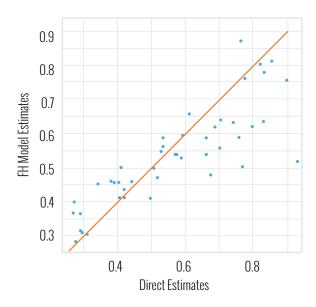
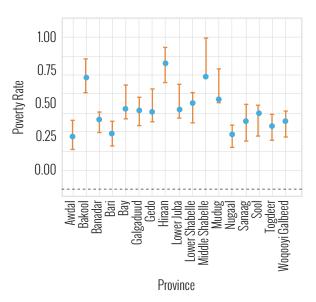


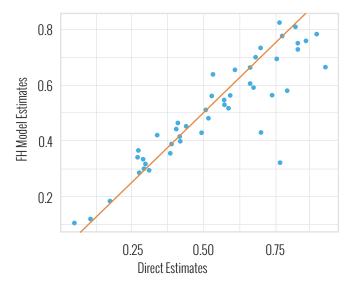
Figure 9: Comparison of FH and SIHBS Estimates (without the 3 SNBS flagged districts)



Note: Author calculations of Direct Estimate 95% Cl at the province level (Red error bars) compared with FH estimates at same level (blue dots).

# **APPENDIX**

Figure A1: Correlation between FH Model Estimates and Direct Estimates at District Level (Original Model) \_



### Table A1: Comparing FH Estimates to Direct Estimates at Regional Level (Original Model)

|                 | Survey          | FH Estimate 95% C | onfidence Intervals |
|-----------------|-----------------|-------------------|---------------------|
| Province        | Direct Estimate | Lower Bound       | Upper Bound         |
| Awdal           | 0.343           | 0.254             | 0.432               |
| Woqooyi Galbeed | 0.427           | 0.340             | 0.515               |
| Togdheer        | 0.406           | 0.322             | 0.490               |
| Sool            | 0.450           | 0.348             | 0.553               |
| Sanaag          | 0.363           | 0.266             | 0.460               |
| Bari            | 0.366           | 0.285             | 0.447               |
| Nugaal          | 0.349           | 0.276             | 0.422               |
| Mudug           | 0.679           | 0.568             | 0.789               |
| Galgaduud       | 0.509           | 0.416             | 0.602               |
| Hiraan          | 0.815           | 0.700             | 0.931               |
| Middle Shabelle | 0.866           | 0.739             | 0.992               |
| Banadir         | 0.440           | 0.374             | 0.505               |
| Lower Shabelle  | 0.534           | 0.436             | 0.631               |
| Bay             | 0.572           | 0.462             | 0.681               |
| Bakool          | 0.743           | 0.632             | 0.855               |
| Gedo            | 0.521           | 0.419             | 0.624               |
| Lower Juba      | 0.578           | 0.468             | 0.689               |

### Table A2: District-Level Poverty Map Table \_\_\_\_

| Region          | Direct        | Direct Estimate | FH Model Estimate |
|-----------------|---------------|-----------------|-------------------|
| Awdal           | Borama        | 0.298           | 0.316             |
| Awdal           | Baki          | 0.519           | 0.483             |
| Awdal           | Lughaye       | 0.768           | 0.321             |
| Awdal           | Zeylac        | 0.173           | 0.181             |
| Woqooyi Galbeed | Hargeysa      | 0.406           | 0.443             |
| Woqooyi Galbeed | Berbera       | 0.506           | 0.508             |
| Woqooyi Galbeed | Gebiley       | 0.534           | 0.636             |
| Togdheer        | Burco         | 0.419           | 0.397             |
| Togdheer        | Buuhoodle     | 0.590           | 0.515             |
| Togdheer        | Owdweyne      | 0.273           | 0.361             |
| Togdheer        | Sheikh        | 0.291           | 0.329             |
| Sool            | Laas Caanood  | 0.418           | 0.417             |
| Sool            | Caynabo       | 0.383           | 0.352             |
| Sool            | Taleex        | 0.795           | 0.579             |
| Sool            | Xudun         | NA              | 0.586             |
| Sanaag          | Ceerigaabo    | 0.388           | 0.384             |
| Sanaag          | Ceel Afweyn   | 0.675           | 0.589             |
| Sanaag          | Laasqoray     | 0.105           | 0.118             |
| Bari            | Bossaso       | 0.310           | 0.293             |
| Bari            | Bandarbeyla   | NA              | 0.463             |
| Bari            | Caluula       | 0.412           | 0.464             |
| Bari            | lskushuban    | 0.342           | 0.420             |
| Bari            | Qandala       | NA              | 0.498             |
| Bari            | Qardho        | 0.496           | 0.429             |
| Nugaal          | Garoowe       | 0.292           | 0.300             |
| Nugaal          | Burtinle      | 0.276           | 0.286             |
| Nugaal          | Eyl           | 0.929           | 0.668             |
| Mudug           | Gaalkacyo     | 0.662           | 0.662             |
| Mudug           | Galdogob      | 0.703           | 0.734             |
| Mudug           | Норуо         | 0.829           | 0.726             |
| Mudug           | Jariiban      | NA              | 0.588             |
| Mudug           | Xarardheere   | NA              | 0.541             |
| Galgaduud       | Dhuusamarreeb | 0.405           | 0.438             |
| Galgaduud       | Cabudwaaq     | 0.528           | 0.559             |
| Galgaduud       | Cadaado       | 0.688           | 0.700             |
| Galgaduud       | Ceel Buur     | NA              | 0.632             |
| Galgaduud       | Ceel Dheer    | NA              | 0.746             |
| Hiraan          | Belet Weyne   | 0.821           | 0.812             |
| Hiraan          | Bulo Burto    | 0.765           | 0.824             |
| Hiraan          | Jalalaqsi     | NA              | 0.775             |
| Middle Shabelle | Jowhar        | 0.855           | 0.761             |

#### DISTRICT-LEVEL POVERTY ESTIMATES IN SOMALIA: A FAY HERRIOT MODEL APPROACH

| Region          | Direct        | Direct Estimate | FH Model Estimate |
|-----------------|---------------|-----------------|-------------------|
| Middle Shabelle | Cadale        | 0.758           | 0.692             |
| Banadir         | Banadir       | 0.440           | 0.451             |
| Lower Shabelle  | Marka         | NA              | 0.483             |
| Lower Shabelle  | Afgooye       | 0.534           | 0.558             |
| Lower Shabelle  | Baraawe       | NA              | 0.551             |
| Lower Shabelle  | Kurtunwaarey  | NA              | 0.485             |
| Lower Shabelle  | Qoryooley     | NA              | 0.558             |
| Lower Shabelle  | Sablaale      | NA              | 0.500             |
| Lower Shabelle  | Wanla Weyn    | NA              | 0.810             |
| Вау             | Baydhaba      | 0.572           | 0.546             |
| Вау             | Buur Hakaba   | NAa             | 0.593             |
| Вау             | Diinsoor      | NA              | 0.544             |
| Вау             | Qansax Dheere | NA              | 0.520             |
| Bakool          | Xudur         | 0.613           | 0.656             |
| Bakool          | Ceel Barde    | 0.776           | 0.775             |
| Bakool          | Tayeeglow     | NA              | 0.768             |
| Bakool          | Waajid        | 0.831           | 0.752             |
| Bakool          | Rab Dhuure    | NA              | 0.784             |
| Gedo            | Garbahaarey   | 0.050           | 0.104             |
| Gedo            | Baardheere    | 0.702           | 0.428             |
| Gedo            | Belet Xaawo   | 0.272           | 0.340             |
| Gedo            | Ceel Waaq     | NA              | 0.310             |
| Gedo            | Doolow        | 0.663           | 0.604             |
| Gedo            | Luuq          | 0.739           | 0.561             |
| Lower Juba      | Kismaayo      | 0.576           | 0.529             |
| Lower Juba      | Afmadow       | 0.592           | 0.565             |
| Lower Juba      | Badhaadhe     | NA              | 0.330             |
| Lower Juba      | Jamaame       | NA              | 0.417             |

### Table A3: Sparsely Sampled Districts

| District Code | District Name | Number of Households | Number of EAs |
|---------------|---------------|----------------------|---------------|
| S01103        | Lughaye       | 12                   | 1             |
| S01102        | Baki          | 24                   | 2             |
| S01104        | Zeylac        | 24                   | 2             |
| S01403        | Taleex        | 24                   | 2             |
| S01803        | Hobyo         | 24                   | 2             |
| SO2104        | Cadale        | 24                   | 2             |
| SO2601        | Garbahaarey   | 24                   | 2             |
| S01303        | Owdweyne      | 36                   | 3             |
| S01402        | Caynabo       | 36                   | 3             |
| S01502        | Ceel Afweyn   | 36                   | 3             |

Note: The colored districts were flagged by the NSO.

| Region          | District      | Final FH Model Estimate | Initial FH Model Estimate |
|-----------------|---------------|-------------------------|---------------------------|
| Awdal           | Borama        | 0.308                   | 0.3158937                 |
| Awdal           | Baki          | 0.470                   | 0.4834294                 |
| Awdal           | Lughaye       | 0.504                   | 0.3212016                 |
| Awdal           | Zeylac        | 0.337                   | 0.1814266                 |
| Woqooyi Galbeed | Hargeysa      | 0.411                   | 0.4433516                 |
| Woqooyi Galbeed | Berbera       | 0.497                   | 0.5079388                 |
| Woqooyi Galbeed | Gebiley       | 0.586                   | 0.6362767                 |
| Togdheer        | Burco         | 0.412                   | 0.3970575                 |
| Togdheer        | Buuhoodle     | 0.528                   | 0.5153860                 |
| Togdheer        | Owdweyne      | 0.398                   | 0.3612637                 |
| Togdheer        | Sheikh        | 0.365                   | 0.3290785                 |
| Sool            | Laas Caanood  | 0.435                   | 0.4165817                 |
| Sool            | Caynabo       | 0.460                   | 0.3523711                 |
| Sool            | Taleex        | 0.620                   | 0.5787886                 |
| Sool            | Xudun         | 0.601                   | 0.5860947                 |
| Sanaag          | Ceerigaabo    | 0.455                   | 0.3843609                 |
| Sanaag          | Ceel Afweyn   | 0.480                   | 0.5890278                 |
| Sanaag          | Laasqoray     | 0.423                   | 0.1177883                 |
| Bari            | Bossaso       | 0.305                   | 0.2932197                 |
| Bari            | Bandarbeyla   | 0.577                   | 0.4632127                 |
| Bari            | Caluula       | 0.500                   | 0.4643615                 |
| Bari            | lskushuban    | 0.453                   | 0.4197501                 |
| Bari            | Qandala       | 0.600                   | 0.4980277                 |
| Bari            | Qardho        | 0.409                   | 0.4291898                 |
| Nugaal          | Garoowe       | 0.315                   | 0.2997191                 |
| Nugaal          | Burtinle      | 0.283                   | 0.2860153                 |
| Nugaal          | Eyl           | 0.517                   | 0.6675905                 |
| Mudug           | Gaalkacyo     | 0.587                   | 0.6619848                 |
| Mudug           | Galdogob      | 0.640                   | 0.7338951                 |
| Mudug           | Норуо         | 0.635                   | 0.7257320                 |
| Mudug           | Jariiban      | 0.476                   | 0.5881161                 |
| Mudug           | Xarardheere   | 0.532                   | 0.5414604                 |
| Galgaduud       | Dhuusamarreeb | 0.457                   | 0.4380751                 |
| Galgaduud       | Cabudwaaq     | 0.547                   | 0.5593798                 |
| Galgaduud       | Cadaado       | 0.620                   | 0.7002371                 |
| Galgaduud       | Ceel Buur     | 0.470                   | 0.6315186                 |
| Galgaduud       | Ceel Dheer    | 0.512                   | 0.7459147                 |
| Hiraan          | Belet Weyne   | 0.804                   | 0.8117509                 |
| Hiraan          | Bulo Burto    | 0.872                   | 0.8241094                 |
| Hiraan          | Jalalagsi     | 0.859                   | 0.7751405                 |
| Middle Shabelle | Jowhar        | 0.813                   | 0.7609432                 |
| Middle Shabelle | Adan Yabaal   | 0.472                   | 0.7364308                 |

### Table 9: District-Level Poverty Map Table (Comparing Poverty Rates with and without the 3 SIHBS flagged areas)

### DISTRICT-LEVEL POVERTY ESTIMATES IN SOMALIA: A FAY HERRIOT MODEL APPROACH

| Region          | District      | Final FH Model Estimate | Initial FH Model Estimate |
|-----------------|---------------|-------------------------|---------------------------|
| Middle Shabelle | Balcad        | 0.755                   | 0.7819597                 |
| Middle Shabelle | Cadale        | 0.588                   | 0.6920718                 |
| Banadir         | Banadir       | 0.458                   | 0.4506796                 |
| Lower Shabelle  | Marka         | 0.560                   | 0.4829774                 |
| Lower Shabelle  | Afgooye       | 0.562                   | 0.5583717                 |
| Lower Shabelle  | Baraawe       | 0.567                   | 0.5506913                 |
| Lower Shabelle  | Kurtunwaarey  | 0.685                   | 0.4852593                 |
| Lower Shabelle  | Qoryooley     | 0.707                   | 0.5583049                 |
| Lower Shabelle  | Sablaale      | 0.368                   | 0.5000973                 |
| Lower Shabelle  | Wanla Weyn    | 0.574                   | 0.8096928                 |
| Вау             | Baydhaba      | 0.539                   | 0.5460101                 |
| Вау             | Buur Hakaba   | 0.482                   | 0.5932554                 |
| Вау             | Diinsoor      | 0.513                   | 0.5439663                 |
| Вау             | Qansax Dheere | 0.540                   | 0.5202485                 |
| Bakool          | Xudur         | 0.658                   | 0.6562309                 |
| Bakool          | Ceel Barde    | 0.762                   | 0.7753613                 |
| Bakool          | Tayeeglow     | 0.716                   | 0.7678550                 |
| Bakool          | Waajid        | 0.779                   | 0.7515893                 |
| Bakool          | Rab Dhuure    | 0.795                   | 0.7842077                 |
| Gedo            | Garbahaarey   | 0.487                   | 0.1040450                 |
| Gedo            | Baardheere    | 0.558                   | 0.4276045                 |
| Gedo            | Belet Xaawo   | 0.367                   | 0.3398158                 |
| Gedo            | Ceel Waaq     | 0.456                   | 0.3102931                 |
| Gedo            | Doolow        | 0.538                   | 0.6036457                 |
| Gedo            | Luuq          | 0.632                   | 0.5611038                 |
| Gedo            | Bu'aale       | 0.473                   | 0.5185329                 |
| Gedo            | Jilib         | 0.712                   | 0.4229273                 |
| Gedo            | Saakow        | 0.527                   | 0.6041703                 |
| Lower Juba      | Kismaayo      | 0.539                   | 0.5286834                 |
| Lower Juba      | Afmadow       | 0.595                   | 0.5650695                 |
| Lower Juba      | Badhaadhe     | 0.510                   | 0.3299765                 |
| Lower Juba      | Jamaame       | 0.468                   | 0.4167271                 |

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